•			
003558.P022D Patent IN THE UNITED STATES PATENT	AND TRADEMARK OFFICE		
In Re Patent Application of:			
James M. Cleeves	Examiner: David L. Hogans		
Application No.: 10/666,971	Art Unit: 2813		
Filed: 09-18-2003			
For: Three-Dimensional Memory	RECEIVED CENTRAL FAX CENTER		
Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450	SEP 0 2 2004		
SECOND PRELIMINARY AMENDMENT			
Q!			

Sir:

Prior to examination of the above-referenced case on the merits, applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

CERTIFICATE OF FACSIMILE TRANSMISSION  hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and frademarks Office in accordance with 37 C.F.R. § 1.6(d), on September 2, 2004				
Name: <u>Conny Willeson</u>				
Signature: Conny William	Date: 0	7-02-0	4	
7				

10/666,971

03558.P022D

1

PAGE 2/8 \* RCVD AT 9/2/2004 7:44:30 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/1 \* DNIS:8729306 \* CSID:408 720 9397 \* DURATION (mm-ss):04-05

## PATENT APPLICATION FEE DETERMINATION RECORD 12-66697 Effective January 1, 2003 **CLAIMS AS FILED - PART I** SMALL ENTITY OTHER THAN (Column 1) (Column 2) TYPE [ OR SMALL ENTITY **TOTAL CLAIMS** RATE FEE RATE FEE **FOR** BASIC FEE NUMBER FILED NUMBER EXTRA 375.00 BASIC FEE 750.00 OR TOTAL CHARGEABLE CLAIMS minus 20= X\$ 9= X\$18= OR INDEPENDENT CLAIMS minus 3 = X42= X84= OR MULTIPLE DEPENDENT CLAIM PRESENT +140= +280= OR \* If the difference in column 1 is less than zero, enter "0" in column 2 750 TOTAL OR TOTAL **CLAIMS AS AMENDED - PART II** OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST ADDI-A'DDI-REMAINING NUMBER PRESENT TIONAL RATE RATE TIONAL EN AFTER PREVIOUSLY EXTRA AMENDMENT **PAID FOR** FE FEE Total Minus X\$ 9= X\$18= OR independent Minus X42= X84= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +140= +280= OR TOTAL TOTAL OR ADDIT FEE ADDIT. FEE (Column 2) (Column 3) HIGHEST ADDI-ADDI-REMAINING NUMBER PRESENT TIONAL ENT RATE **AFTER** RATE TIONAL **PREVIOUSLY FXTRA** MENDMENT PAID FOR FEE FEE ENDM Total Minus X\$ 9-X\$18= OR Independent Minus X42= X8/= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +280= +146= OR TOTAL OR ADDIT. FEE ADDIT, FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST ADDI-ADDI-REMAINING NUMBER PRESENT AFTER **PREVIOUSLY** RATE TIONAL RATE TIONAL **EXTRA** MENDMENT PAID FOR FEE FEE Total Minus X\$ 9= X\$18= OR Independent Minus X42= X84= OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM +140= +280= OR \* If the entry in column 1 is less than the entry in column 2, write "O" in column 3. TOTAL TOTAL \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20." OR ADDIT. FEE ADDIT, FEE \*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3." The "Highest Number Previously Paid For" (Total or Independent) Is the highest number found in the appropriate box in column 1.

**Application or Docket Number**